



SHEET 1 OF 1

Substitute Form PTO-144

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

DOCKET
DOL118S.N.
10/712,791APPLICANT
Michael John Smithers, et al.FILING DATE
November 12, 2003GROUP
2644

U.S. PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	FILING DATE
/JK/		US-5,225,945	7/6/93	Mine, Norichika, et al.	
/JK/		US-5,903,872	5/11/99	Louis Dunn Fiedler	
/JK/		US-2002/0116178	8/22/02	Brett G. Crockett	
		US-			

FOREIGN PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO
/JK/		GB 2378873 A	2/19/03	David Trevor Cliff	
		EP 60055566	3/30/85	Takeuchi Hiroaki	
/JK/		EP 1067551 A2	1/10/01	Terao, Kyoichi	
/JK/		EP 1094464 A2	4/25/01	Koyata, Tomohiro, et al.	

NON-PATENT LITERATURE DOCUMENTS

*Exam. Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	TRANSLATION YES NO
/JK/		Craven, Peter, "Lossless Coding for Audio Discs," Journal of the Audio Engineering Society, Vol. 44, No. 9, September 1996.	

EXAMINER	/Jason Kurr/	DATE CONSIDERED	07/25/2007
EXAMINER:	Initial if citation considered, whether or not citation is in conformance with MPEP; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.		

Form PTO-1449

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AUG 30 2004U.S. DEPARTMENT OF COMMERCE
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U.S. PATENT DOCUMENTS

*Exam. Initial		DOCUMENT NUMBER						DATE	NAME	CLASS	SUB- CLASS	FILING DATE
/JK/		3	8	7	2	5	0	3	3/18/75	Shutterly, Harold B.		
/JK/		4	5	5	5	7	3	7	11/26/85	Heitmann, Jürgen		
/JK/		4	7	1	7	9	7	2	1/5/88	Heitmann, Jürgen		
/JK/		4	9	6	1	1	1	6	10/2/90	Kanamaru, Hitoshi, et al		
/JK/		5	1	0	9	4	1	7	4/28/92	Fielder, et al		
/JK/		5	1	4	0	4	7	3	8/18/92	Murakami, Yoshihiro		
/JK/		5	2	0	8	6	7	8	5/4/93	Nakagawa, Yukio		
/JK/		5	9	0	3	8	7	2	5/11/99	Fielder, Louis Dunn		
/JK/		5	9	1	3	1	9	0	6/15/99	Fielder, Louis Dunn		
/JK/		5	9	1	3	1	9	1	6/15/99	Fielder, Louis Dunn		
/JK/		6	1	2	4	8	9	5	9/26/00	Fielder, Louis Dunn		

FOREIGN PATENT DOCUMENTS

*Exam. Initial		DOCUMENT NUMBER				DATE	COUNTRY		CLASS	SUB- CLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

/JK/	1	Watatani, Yoshizumi, et al., "The FM Audio Signal Recording System for 8mm Video," IEEE Transactions on Consumer Electronics, Vol. CE-30, No. 4, November 1984, pp 592-597.
/JK/	2	Fielder, Louis D., et al., "Professional Audio Coder Optimized for Use with Video," presented at the 107 th Convention September 24-27, 1999, Audio Engineering Society Preprint, 5033 (K-1).
/JK/	3	Lyman, Stephen, "Understanding Dolby E," Broadcast Engineering September 1999, pp 1-6.
/JK/	4	Smithers, Michael J., et al., "Ultra High Quality, Video Frame Synchronous Audio Coding," presented at the 114 th Convention March 22-25, 2003, Audio Engineering Society, Convention Paper 5853, pp 1-14.

EXAMINER	/Jason Kurr/	DATE CONSIDERED
		07/25/2007

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